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1653

PTO/SB/21 (08-00)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

16 + 99
REFERENCES

Application Number

09/752,533

Filing Date

December 29, 2000

First Named Inventor

Stephen M. COUTTS

Group Art Unit

1653

Examiner Name

D. Lukton

Attorney Docket No.

252312005704

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- | | | |
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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
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| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Form PTO-1449 + 2 copies (12 Pages)
99 References
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| <input checked="" type="checkbox"/> Information Disclosure Statement
(3 Pages) | <input type="checkbox"/> Request for Refund | |
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| <input type="checkbox"/> Response to Missing Parts
under 37.CFR 1.52 or 1.53 | | |

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENTFirm
or

Individual Name

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

Madeline I. Johnston (Registration No. 36,174)

Signature

Date

December 5, 2002

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Tamara Alcaraz

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This Supplemental Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for

any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **252312005704**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 5, 2002

Respectfully submitted,

By: 

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Registration No. 36,174

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PATENT
Docket No. 252312005704

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Tamara Alcaraz
Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Stephen M. COUTTS, et al.

Serial No.: 09/752,533

Filing Date: December 29, 2000

For: CONJUGATES OF CHEMICALLY
DEFINED NON-POLYMERIC
VALENCY PLATFORM MOLECULES
AND BIOLOGICALLY ACTIVE
MOLECULES (AS AMENDED)

Examiner: D. Lukton

Group Art Unit: 1653

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

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Form PTO-1449

Docket Number 252312005704

Application Number 09/752,533

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

Stephen M. COUTTS, et al.

(Use several sheets if necessary)

Filing Date December 29, 2000

Group Art Unit 1653

Mailing Date December 5, 2002

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/18/1979	4,179,337	Davis et al.			
	2.	04/14/1981	4,261,973	Lee et al.			
	3.	03/22/1988	4,732,863	Tomasi et al.			
	4.	08/23/1988	4,766,106	Katre et al.			
	5.	04/17/1990	4,917,888	Katre et al.			
	6.	10/01/1991	5,053,423	Liu			
	7.	06/16/1992	5,122,614	Zalipsky			
	8.	01/24/1992	5,126,131	Dintzis et al.			
	9.	11/10/1992	5,162,515	Conrad et al.			
	10.	04/27/1993	5,206,344	Katre et al.			
	11.	08/24/1993	5,238,940	Liu et al.			
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	13.	01/04/1994	5,276,013	Conrad et al.			
	14.	10/25/1994	5,359,030	Ekwuribe			
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	18.	04/08/1997	5,618,528	Cooper et al.			
	19.	07/01/1997	5,643,575	Martinez et al.			
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	21.	10/28/1997	5,681,811	Ekwuribe			
	22.	03/24/1998	5,730,990	Greenwald et al.			
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	26.	02/23/1999	5,874,500	Rhee et al.			
	27.	03/09/1999	5,880,131	Greenwald et al.			

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Form PTO-1449

Docket Number 252312005704

Application Number 09/752,533

INFORMATIONAL CLOSURE CITATION
IN AN APPLICATION

Applicant

Stephen M. COUTTS, et al.

(Use several sheets if necessary)

Filing Date December 29, 2000

Group Art Unit 1653

Mailing Date December 5, 2002

	28.	05/11/1999	5,902,588	Greenwald et al.			
	29.	07/06/1999	5,919,455	Greenwald et al.			
	30.	10/12/1999	5,965,119	Greenwald et al.			
	31.	10/12/1999	5,965,566	Greenwald et al.			
	32.	11/16/1999	5,985,263	Lee et al.			
	33.	12/07/1999	5,998,223	Matsuura et al.			
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	36.	05/09/2000	6,060,056	Coutts et al.			
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	42.	01/23/2001	6,177,087	Greenwald et al.			
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	50.	10/04/2001	US 2001/0027212	Bentley et al.			
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	57.	01/31/2002	US 2002/0013266	Bentley et al.			
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Form PTO-1449

Docket Number 252312005704

Application Number 09/752,533

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Applicant

Stephen M. COUTTS, et al.

(Use several sheets if necessary)

Filing Date December 29, 2000

Group Art Unit 1653

Mailing Date December 5, 2002

	59.	02/28/2002	US 2002/0025321	Shoenfeld et al.			
	60.	03/07/2002	US 2002/0028912	Yamasaki et al.			
	61.	03/26/2002	6,362,254	Harris et al.			
	62.	03/28/2002	US 2002/0037949	Harris et al.			
	63.	04/02/2002	6,365,173	Domb et al.			
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	65.	04/23/2002	6,376,604	Kozlowski			
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	67.	05/02/2002	US 2002/0052430	Harris et al.			
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	71.	08/15/2002	US 2002/0110535	Jones			
	72.	10/01/2002	6,458,953	Jones			

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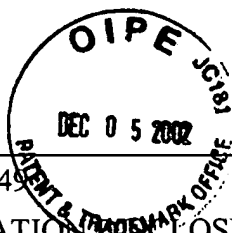
Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation	
							YES	NO
	73.	12/21/1998	AU 744085	Australia				
	74.	01/04/1995	EP 0 632 082	Europe				
	75.	10/03/1991	WO 91/14456	WIPO				
	76.	05/26/1995	WO 95/14231	WIPO			Abstract	
	77.	02/15/1996	WO 96/04006	WIPO				
	78.	08/21/1997	WO 97/30148	WIPO				
	79.	02/12/1998	WO 98/05363	WIPO				
	80.	07/30/1998	WO 98/32466	WIPO				
	81.	05/27/1999	WO 99/25384	WIPO				
	82.	09/16/1999	WO 99/45964	WIPO				
	83.	12/16/1999	WO 99/64595	WIPO				
	84.	01/13/2000	WO 00/01729	WIPO				
	85.	04/13/2000	WO 00/20019	WIPO				

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Form PTO-1449 INFORMATIONAL CLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 252312005704	Application Number 09/752,533
	Applicant Stephen M. COUTTS, et al.	
	Filing Date December 29, 2000	Group Art Unit 1653
	Mailing Date December 5, 2002	

	86.	11/09/2000	WO 00/66715	WIPO				
	87.	12/14/2000	WO 00/75105	WIPO				
	88.	03/15/2001	WO 01/18541	WIPO				
	89.	04/19/2001	WO 01/26692	WIPO				
	90.	10/25/2001	WO 01/79449	WIPO				
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	93.	02/07/2002	WO 02/09766	WIPO				
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	95.	04/04/2002	WO 02/26772	WIPO				
	96.	04/04/2002	WO 02/27315	WIPO				
	97.	04/04/2002	WO 02/27317	WIPO				
	98.	05/23/2002	WO 02/40058	WIPO				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	99.	Blank, M. et al. (1999). "Prevention of Experimental Antiphospholipid Syndrome and Endothelial Cell Activation by Synthetic Peptides," <i>Proc. National Acad. Sci USA</i> 96:5164-5168.

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